

## Key Information Document (KID)

# OMBA MODERATE RISK GLOBAL ALLOCATION FUND

A sub-fund of Omba Investments ICAV (the "ICAV")



**Purpose:** This document provides you with key investor information about this investment product. It is not marketing material. The information is required by law to help you understand the nature, risks, costs, potential gains, and losses of investing in this product.

**Fund Name (the "Fund"):** Omba Moderate Risk Global Allocation Fund

**Contact Details:** via phone +442031768400; or via website [www.ombainvestments.com](http://www.ombainvestments.com)

**Manager:** This Fund is managed by Prescient Fund Services (Ireland) Limited. (the "Manager").

**Manufacturer:** The Manufacturer is Omba Advisory & Investments Ltd.

**Competent Authority:** The Manager and ICAV are both authorised in Ireland and approved by the Central Bank of Ireland.

**Date:** This document is accurate as of 31 December 2023

### Fund details and share classes available

Share Class	ISIN	Income Class	Currency
A	IE00BJLPGR56	Accumulating	EUR
A	IE00BJLPGS63	Accumulating	GBP
A	IE00BJLPGQ40	Accumulating	USD
B	IE00BJLPGV92	Distributing	EUR
B	IE00BJLPGW00	Distributing	GBP
B	IE00BJLPGT70	Distributing	USD
C	IE00BJLPGY24	Accumulating	USD
C	IE00BJLPGX17	Distributing	USD

## WHAT IS THIS PRODUCT?

**Type:** This is an Irish Collective Asset-Management Vehicle ("ICAV") product and is regulated as a UCITS fund by Central Bank of Ireland, with passporting rights and regulation coverage throughout the European Union.

**Objective:** The investment objective of the Fund is to generate capital appreciation over the medium to long term.

The Fund aims to achieve this investment objective by investing primarily through collective investment schemes such as, Exchange Traded Funds ("ETF"), UCITs or Alternative Investments that are eligible for investment by a UCIT, up to 100% of the Net Asset Value of the Fund.

The Fund may hold up to 10% of the Net Asset Value directly in equity or equity related securities, which are traded on Recognised Exchanges.

The Fund may hold up to 40% of the Net Asset Value in debt securities, provided they have a minimum credit rating of AA or Aa at the time of

purchase. Debt securities shall be listed on Recognised Exchanges or unlisted, subject to the limits set out in the Prospectus.

The Fund will not utilise financial derivative instruments.

The Fund is actively managed (i.e., the Investment Manager has discretion over the composition of the Fund's portfolio) in reference to a benchmark of Consumer Price Index ("US CPI") plus 3% per annum and will measure its performance against this benchmark. As the benchmark itself does not comprise of securities, there can be no overlap between the Benchmark and the securities into which it invests.

**The Accumulating classes will not pay a cash dividend. Any income arising from the Fund will be reinvested to grow the value of your investment. The Distributing classes will pay a cash dividend.**

**Intended Investor:** The Fund is suitable for investors that seek capital appreciation over the medium to long term and whom have a medium to medium-high risk profile.

You may buy and sell shares in the Fund on any day on which banks in Ireland are open for business.

## WHAT ARE THE RISKS AND WHAT COULD I GET IN RETURN?

### Risk Indicators



The summary risk indicator is a guide to the level of risk of this product compared to other products. It shows how likely it is that the product will lose money because of movements in the markets or because we are unable to pay you. The risk indicator assumes you keep the product for a minimum of 5 years.

**We have classified this product as 3 out of 7, which is a medium risk class.** This classification rates the potential losses from future performance at a medium level, and poor market conditions could affect the value of your investment. This classification is not guaranteed and may change over time and may not be a reliable indication of the future risk profile of the Fund. The lowest category does not mean risk free.

The Fund does not offer any capital protection against market risk, or capital guarantee against credit risk.

**Market risk** is the risk of loss due to adverse movements or changes in the industry or the economy. **Credit Risk** represents the risk that a borrower of fixed income instrument will not honour its obligations which may result in losses for the investor.

The Fund may be affected by **Liquidity Risk** if underlying funds suspend or defer payment of redemption proceeds, the funds' ability to meet redemption requests may be affected.

Please refer to the "Risk Factors" sections of the Prospectus and the Supplement for further information.

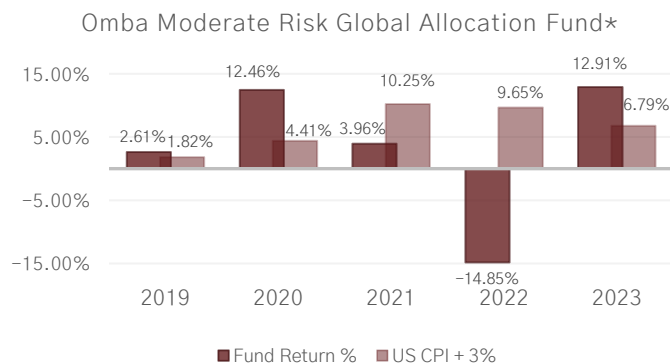
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## PAST PERFORMANCE AND PERFORMANCE SCENARIOS



\*The chart is an illustration of the USD Accumulating Share class vs. the benchmark of US CPI +3% p.a.

The chart shows the Fund's annual performance in USD for each full calendar year over the period displayed in the chart. It's expressed as a percentage change of the Fund's net asset value at each year-end.

The Fund launched in 2019 and shares in this class were issued in 2019.

Performance is net of any ongoing charges. Entry/exit charges are excluded from the calculation.

Past performance is based on the net asset value (NAV) of the Fund and is not a reliable indicator of future results.

### Performance Scenarios – Investment of £/\$/€ 10,000

The figures shown include all the costs of the product itself but may not include all the costs that you pay to your advisor or distributor. The figures do not consider your personal tax situation, which may affect how much you get back.

What you will get from this Fund depends on future market performance. Market developments in the future are uncertain and cannot be accurately predicted. The unfavourable, moderate, and favourable scenarios shown are illustrations using the worst, average, and best performance of the Fund, which may include input from benchmark(s)/proxy, over the last ten years.

The stress scenario shows what you might get back in extreme market conditions.

RECOMMENDED HOLDING PERIOD: 5 YEARS SCENARIOS		1 YEAR	5 YEARS
Favourable Scenario	What you might get back after costs	12,690	14,783
	Average return each year	26.90%	8.13%
Moderate Scenario	What you might get back after costs	10,459	12,288
	Average return each year	4.59%	4.21%
Unfavourable Scenario	What you might get back after costs	8,103	10,421
	Average return each year	-18.97%	0.83%
Stress Scenario	What you might get back after costs	6,499	5,877
	Average return each year	-35.01%	-10.09%

## WHAT HAPPENS IF THE FUND IS UNABLE TO PAY OUT?

The assets of the Fund are held in safekeeping by its depositary, Northern Trust Fiduciary Services (Ireland) Limited (the "Depositary"). In the event of the insolvency of the Manager, the Fund's assets in the safekeeping of the Depositary will not be affected. However, in the event of the Depositary's insolvency, or someone acting on its behalf, the Fund may suffer a financial loss. However, this risk is mitigated to a certain extent by the fact the Depositary is required by law and regulation to segregate its own assets from the assets of the Fund. The Depositary will also be liable to the Fund and the investors for any loss arising from, among other things, its negligence, fraud, or intentional failure properly to fulfil its obligations (subject to certain limitations). As a shareholder of the Fund, you would not be able to make a claim to the UK Financial Services Compensation Scheme or any other scheme about the Fund if the Fund is unable to pay out.

## WHAT ARE THE COSTS?

### Table 1: Costs over Time – Investment of £/\$/€ 10,000

The chart below demonstrates the cumulative impact of the total costs you will pay will have on the investment return you may get. The total costs include one-off, recurring, and incidental costs. These figures are estimates and may change in the future, more information on costs can be found in the Fund Prospectus.

	IF YOU EXIT AFTER 1 YEAR	IF YOU EXIT AFTER 5 YEARS
Total Costs	80	479
Annual Cost Impact (*)	0.80%	0.80%

\*This illustrates how costs reduce your return over the holding period. For example, it shows that if you exit at the recommended holding period your annualised return is projected to be 5.01% before costs and 4.21% after costs.

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**Table 2: Composition of Costs**

The ongoing charges figure is an estimate based on a projection of the expenses of the Fund for the first twelve months of operation of the Fund, which includes estimates of any sales charges and ongoing charges which may be levied by the underlying collective undertakings into which the Fund invests.

ONE-OFF COSTS		IF YOU EXIT AFTER 1 YEAR
Entry Costs	We do not charge an entry fee	–
Exit Costs	We do not charge an exit fee	–
ONGOING COSTS TAKEN EACH YEAR		
Management Fees and other administrative or operating costs	0.80% of the value of your investment per year. Any underlying product costs are included here with the exception of transaction costs which are included below.	\$80
Transaction costs	There is no transaction fee for this product	–
INCIDENTAL COSTS TAKEN UNDER SPECIFIC CONDITIONS		
Performance fees	There is no performance fee for this product	–

You can find more details about the charges in the section of the Prospectus entitled "Fees and Expenses" and in the Fund Supplement.

## HOW LONG SHOULD I HOLD IT, AND CAN I TAKE THE MONEY OUT EARLY?

### Recommended Holding period ("RHP"): 5 years

Given the risk/return profile and composition of securities used, the RHP to achieve optimal returns is 5 years. This Fund is considered materially liquid and does not have a set maturity date. You may disinvest from the Fund prior to the RHP; however, you may receive less than expected. The RHP is an estimate and must not be taken as a guarantee or an indication of future performance, returns, or risk levels.

## HOW CAN I COMPLAIN?

Should you wish to lodge a complaint regarding the services being provided please email [complaints@prescient.ie](mailto:complaints@prescient.ie) or you may obtain the complaints policy from the compliance department at [compliance@prescient.co.za](mailto:compliance@prescient.co.za). If an eligible investor is still not satisfied with the final response from the Manager, or if a complaint has not been fully resolved within 40 days of it being received, they have the right to address their complaint in writing to the Financial Services Ombudsman at: Lincoln House, Lincoln Place, Dublin 2, D02 VH29, Ireland. or [info@fspo.ie](mailto:info@fspo.ie), Tel +353 1 567 7000. The Ombudsman is legally empowered to investigate and adjudicate complaints in a procedurally fair, economical, and expeditious manner.

## OTHER RELEVANT INFORMATION

**Fund Depositary:** Northern Trust Fiduciary Services (Ireland) Limited.

**Further Information:** Copies of the Prospectus, annual and semi-annual reports of the Fund are available in English and may be obtained, free of charge, from the "Manager" at 35 Merrion Square East, Dublin 2, Ireland or by visiting [www.prescient.ie](http://www.prescient.ie). Copies may also be obtained directly from OMBA Advisory & Investments Ltd (the "Investment Manager").

**Share Price / NAV:** The net asset value of the Fund is calculated in USD. The share price is published in USD on each Business Day. The prices are available from the Manager during normal business hours and will be published on the Manager's website at [www.prescient.ie](http://www.prescient.ie)

**Taxation:** The Fund is subject to tax laws and regulations of Ireland. Depending on your home country of residence, this may have an impact on your investment. For further details, please speak to a Tax adviser.

**Switches:** You are entitled to switch your shares to shares in the same class of another sub-fund of the ICAV, subject to the Fund's switching charge as detailed in the Prospectus. Please refer to the Prospectus for further information on how to switch.

**Fund Structure:** This document describes a share class of OMBA Moderate Risk Global Allocation Fund, a sub-fund of the ICAV. The

assets and liabilities of each sub-fund of the ICAV are segregated subject to the provisions of Irish law. You can find out more information about the ICAV in the Prospectus.

**Remuneration:** Details of the up-to-date remuneration policy of the Manager, including, but not limited to, a description of how remuneration and benefits are calculated, the identity of persons responsible for awarding the remuneration and benefits including the composition of the remuneration committee, if any, are available at [www.prescient.ie](http://www.prescient.ie). A paper copy of the remuneration policy will be made available free of charge upon request from the Manager.

**Responsibility for Information:** The Manager may be held liable solely based on any statement contained in this document that is misleading, inaccurate, or inconsistent with the relevant parts of the Prospectus for the ICAV.

**Information for Investors in Switzerland:** The Prospectus and the Supplements of the Funds, the Key Information Documents ("KIDs"), the Instrument of Incorporation as well as the annual Interim reports of the ICAV are available free of charge from the Swiss Representative 1741 Fund Solutions AG. The Swiss paying agent is Tellico AG.

*Without prejudice to ad hoc reviews, this key information document is updated at least every 12 months.*